

EPA ENFORCEMENT ACCOUNTS RECEIVABLE CONTROL NUMBER FORM FOR ADMINISTRATIVE ACTIONS

This form was originated by Wanda I. Santiago for

Jeffrey Kopf  
Name of Case Attorney

5/3/16  
Date

in the ORC (RAA) at 918-1113  
Office & Mail Code Phone number

Case Docket Number CWA-01-2015-0032

Site-specific Superfund (SF) Acct. Number \_\_\_\_\_

This is an original debt  This is a modification

Name and address of Person and/or Company/Municipality making the payment:

Geoff Evancic  
Whipple Construction Company  
446 Main Street, 7th Floor  
Worcester, MA 01608

Total Dollar Amount of Receivable \$ 6,125 Due Date: 6/2/16

SEP due? Yes  No  Date Due \_\_\_\_\_

Installment Method (if applicable)

INSTALLMENTS OF:

1<sup>st</sup> \$ \_\_\_\_\_ on \_\_\_\_\_  
2<sup>nd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_  
3<sup>rd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_  
4<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_  
5<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

For RHC Tracking Purposes:

Copy of Check Received by RHC \_\_\_\_\_ Notice Sent to Finance \_\_\_\_\_

**TO BE FILLED OUT BY LOCAL FINANCIAL MANAGEMENT OFFICE:**

IFMS Accounts Receivable Control Number \_\_\_\_\_

If you have any questions call: \_\_\_\_\_  
in the Financial Management Office

\_\_\_\_\_ Phone Number



# U.S. Environmental Protection Agency

Region I New England  
5 Post Office Square – Suite 100  
Boston, MA 02109-3912

May 2, 2016

Wanda Santiago  
Regional Hearing Clerk  
U.S. Environmental Protection Agency - Region I  
5 Post Office Square, Suite 100  
Mail Code: ORA18-1  
Boston, MA 02109-3912

**BY HAND**

**RECEIVED**

**MAY 02 2016**

EPA ORC WS  
Office of Regional Hearing Clerk

Re: *In the matter of Whipple Construction Company*  
Docket No. CWA-01-2015-0032

Dear Ms. Santiago,

Enclosed for filing, please find a Consent Agreement and Final Order (CAFO) settling the matter referenced above.

Thank you for your attention to this matter.

Sincerely,

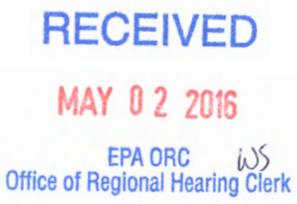
A handwritten signature in blue ink, appearing to read "Jeff Kopf".

Jeffrey Kopf  
Senior Enforcement Counsel  
EPA Region 1

Enclosure

cc: Geoff Evancic, Whipple Construction Company  
Mary Angeles, EPA (via email)

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 1**

IN THE MATTER OF ) WHIPPLE CONSTRUCTION COMPANY ) 446 Main Street, 7th Floor ) Worcester, Massachusetts 01608 )  Respondent. )  Proposing to Assess a Civil Penalty Under ) Section 309(g) of the Clean Water Act, ) 33 U.S.C. §§ 1319(g) )	Docket No. CWA-01-2015-0032  <b>CONSENT AGREEMENT AND FINAL ORDER</b>  <div style="text-align: center;"><p><b>RECEIVED</b> <b>MAY 02 2016</b> EPA ORC <i>WS</i> Office of Regional Hearing Clerk</p></div>
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This Consent Agreement and Final Order (“CAFO”) is issued under the authority granted to the United States Environmental Protection Agency (“EPA”) by Section 309(g) of the Clean Water Act (“CWA” or “Act”), 33 U.S.C. § 1319(g) and in accordance with the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (“Consolidated Rules of Practice”), 40 C.F.R. Part 22.

**I. PRELIMINARY STATEMENT**

1. EPA initiated this proceeding against the Whipple Construction Co. (“Respondent”) pursuant to Sections 309(g) of the CWA, 33 U.S.C. § 1319(g), by filing an administrative complaint against Respondent on September 10, 2015 (the “Complaint”).
2. The complete factual and jurisdictional basis for proposing the assessment of a civil penalty is set forth in the Complaint and is incorporated herein by reference.
3. Pursuant to Section 309(g)(1) of the CWA, 33 U.S.C. § 1319(g)(1), the Commonwealth of Massachusetts has been given an opportunity to consult with EPA regarding the assessment of the administrative penalty for CWA violations against Respondent.

4. Section 309(g)(4)(A) of the CWA, 33 U.S.C. § 1319(g)(4)(A), provides that, prior to issuing an order assessing a penalty under Section 309(g) of the CWA, 33 U.S.C. § 1319(g), EPA must provide public notice of, and reasonable opportunity to comment on, the proposed issuance of such order. EPA has satisfied this requirement by providing public notice of, and reasonable opportunity to comment on, the proposed issuance of such order.

## II. CONSENT AGREEMENT

5. Respondent stipulate that EPA has jurisdiction over the subject matter alleged in the Complaint.

6. Respondent waive any defenses they may have as to jurisdiction and venue, and, without admitting or denying the facts and violations alleged in the Complaint, consents to the terms of this CAFO.

## III. WAIVER OF RIGHTS

7. Respondent hereby waives it right to request a hearing under Section 309(g)(2)(B), 33 U.S.C. § 1319(g)(2)(B), and to any appeal of the Final Order in this matter under Section 309(g)(8)(B), 33 U.S.C. § 1319(g)(8)(B). Respondent consents to the issuance of the Final Order included with this Consent Agreement without further adjudication.

## IV. PENALTY

8. EPA proposes and Respondent consents to the assessment of a civil penalty in the amount of \$6,125.

## V. PAYMENT TERMS

9. In agreeing to the penalty described in paragraph 8 above, EPA has taken into account the statutory penalty factors at Section 309(g)(3) of the CWA, 33 U.S.C. §§ 1319(g)(3).

10. Respondent shall pay a total penalty of \$6,125 for violations of Section 301 of the CWA, 33 U.S.C. § 1311, within ten (10) days of the date this Agreement becomes final.

a. Respondent shall make the payment of \$6,125 for the violations of Section 301 of the CWA by cashier's or certified check, payable to "Treasurer, United States of America," referencing the case name and docket number of this action (*In the matter of Whipple Construction Co.* No. CWA-01-2015-0032). The payment shall be mailed via regular U.S. Postal Service mail, to:

U.S. Environmental Protection Agency  
Fines and Penalties  
Cincinnati Finance Center  
P.O. Box 979077  
St. Louis, MO 63197-9000

11. At the time of payment, Respondent shall simultaneously send notice of the payment and copies of the checks to:

Wanda Santiago  
Regional Hearing Clerk  
U.S. Environmental Protection Agency, Region 1  
5 Post Office Square, Suite 100 (Mail Code ORA 18-1)  
Boston, MA 02109-3912

and

Jeffrey Kopf  
Senior Enforcement Counsel  
U.S. Environmental Protection Agency, Region 1  
5 Post Office Square, Suite 100 (Mail Code: OES04-4)  
Boston, MA 02109-3912

12. Pursuant to Sections 309(g)(9) of the CWA, 33 U.S.C. § 1319(g)(9), a failure by the Respondents to pay the penalty assessed by this CAFO in full by its due date shall subject Respondents to a civil action to collect the assessed penalty, plus interest at the prevailing rates, from the date this Agreement becomes final. The rate of interest assessed shall be at the rate set forth in 31 C.F.R. § 901.9(b), promulgated under 31 U.S.C. § 3717. Any person who fails to pay on a timely basis the amount of an assessed penalty shall be required to pay, in addition to such

amount and interest, attorney's fees, costs for collection proceedings, and a quarterly nonpenalty payment for each quarter during which such failure to pay persists. Such nonpayment penalty shall be in an amount equal to twenty percent of the aggregate amount of such person's penalties and nonpayment penalties that are unpaid as of the beginning of such quarter. In any such collection action, the validity, amount, and appropriateness of the penalty shall not be subject to review.

## VI. GENERAL PROVISIONS

13. The provisions of this CAFO shall apply to and be binding on Respondent, its officers, directors, agents, servants, employees, successors, and assigns.

14. The civil penalty provided under this CAFO, and any interest, nonpayment penalties, and charges described in this CAFO, shall represent penalties assessed by EPA within the meaning of 26 U.S.C. § 162(f) and are not tax deductible for purposes of federal, state, or local law. Accordingly, Respondent agree to treat all payments made pursuant to this CAFO as penalties within the meaning of 26 C.F.R. § 1.162-21, and further agree not to use those payments in any way as, or in furtherance of, a tax deduction under federal, state, or local law.

15. This CAFO does not constitute a waiver, suspension, or modification of the requirements of the CWA or any regulations or permits promulgated thereunder. Payment of the penalty pursuant to this CAFO resolves only Respondent's liability for federal civil penalties for the violations and facts alleged in the Complaint.

16. This CAFO in no way relieves Respondent or its employees of any criminal liability, and EPA reserves all its other criminal and civil enforcement authorities, including the authority to seek injunctive relief and the authority to undertake any action against Respondent in response to conditions which may present an imminent and substantial endangerment to the public health, welfare, or the environment.

17. Nothing in this agreement shall be construed as prohibiting, altering, or in any way limiting the ability of EPA to seek any other remedies or sanctions available by virtue of Respondent's violation of this CAFO or of the statutes and regulations upon which the Complaint and this CAFO is based, or for Respondent's violation of any applicable provision of law.

18. The Parties shall bear their own costs and fees in this action, including attorney's fees, and Respondents specifically waive any right to recover such costs pursuant to the Equal Access to Justice Act, 5 U.S.C § 504, or other applicable laws.

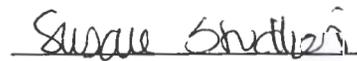
19. Each undersigned representative of the Parties to this Consent Agreement certifies that he or she is fully authorized by the Party represented to enter into the terms and conditions of this CAFO and to execute and legally bind that party to it.

For Whipple Construction Company

  
\_\_\_\_\_  
Geoffrey Evancic, President  
Whipple Construction Co.

Date: 4/19/16

For U.S. Environmental Protection Agency:

  
\_\_\_\_\_  
Susan Studlien, Director  
Office of Environmental Stewardship  
U.S. Environmental Protection Agency, Region 1

Date: 05/02/2016

**FINAL ORDER**

20. The forgoing consent agreement is hereby approved and incorporated by reference into this Order. The Respondent is hereby ordered to comply with the terms of the above Consent Agreement, which will become final 30 days from the date it is signed by the Regional Administrator.

Date: \_\_\_\_\_

5/2/16

Deborah A. Spalding for HCS

H. Curtis Spalding  
Regional Administrator  
U.S. Environmental Protection Agency, Region 1

*In the matter of Whipple Construction Company*  
Docket No. CWA-01-2015-0032

**CERTIFICATE OF SERVICE**

I hereby certify that the foregoing Consent Agreement and Final Order has been sent to the following persons on the date noted below:

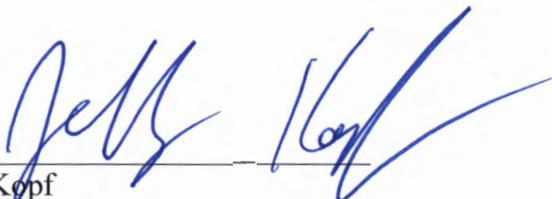
Original and one copy,  
hand-delivered:

Ms. Wanda Santiago  
Regional Hearing Clerk  
U.S. EPA, Region I (ORA18-1)  
5 Post Office Square, Suite 100  
Boston, MA 02109-3912

Copy, by Certified Mail,  
Return Receipt Requested

Copy by regular mail:  
Geoffrey Evancic, President  
Whipple Construction Company  
446 Main Street, 7<sup>th</sup> Floor  
Worcester, MA 01608

Dated: 5/2/16

  
\_\_\_\_\_  
Jeffrey Kopf  
Senior Enforcement Counsel  
U.S. Environmental Protection Agency, Region 1  
5 Post Office Square, Suite 100 (OES04-3)  
Boston, MA 02109-3912  
Tel (617) 918-1796  
Fax (617) 918-0796